

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Sherry Leonard and Robert Freedman for **Promoter Variants Of The Alpha-7 Nicotinic Acetylcholine Receptor**.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date **November 26, 2003** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV 329476899** US addressed to: **Box Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cliff Cannon-Cin

1. **Type Of Application**

This new application is for a(n)

- ☒ Original (nonprovisional)
☒ Continuation-In-Part (C-I-P).

2. **Benefit Of Prior U.S. Application(s)** (35 U.S.C. §§ 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.**

3. **Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application**

- 131 Pages of Specification
4 Pages of Claims
1 Page of Abstract
15 Sheets of Formal Drawings

4. **Additional Papers Enclosed**

- ☒ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
☒ Certificate Re: Sequence Listing

5. **Declaration**

- ☒ Enclosed (unexecuted)

6. **Inventorship Statement**

The inventorship for all the claims in this application is:

- ☒ the same

7. **Language**

- ☒ English

8. **Assignment**

- ☒ An assignment of the invention to **The United States of America as represented by the Department of Veterans Affairs** is attached (unexecuted).
☒ Form PTO-1595 will follow.

9. Fee Calculation (37 C.F.R. § 1.16)

☒ Regular application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee - \$770.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	25 - 20 =	5 × \$18.00 =	\$90.00
Independent Claims (37 C.F.R. § 1.16(b))	4 - 3 =	1 × \$86.00 =	\$86.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$290.00 =		\$0.00

Filing Fee Calculation \$946.00

10. Fee Payment Being Made At This Time

☒ Enclosed

☒ basic filing fee

\$946.00

Total Fees Enclosed \$946.00

11. Method of Payment of Fees

☒ Check in the amount of \$946.00

12. Authorization To Charge Additional Fees and Credit Overpayment

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

13. Power of Attorney by Assignee

☒ Enclosed (unexecuted)

14. Return Receipt Postcard

☒ Enclosed

Dated: November 26, 2003



Christine A. Lekutis
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☒ Incorporation By Reference Of Added Pages

☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)
Claimed

Number of pages added 1

**ADDED PAGES FOR APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**

15. Relate Back

A. 35 U.S.C. § 119(e)

B. 35 U.S.C. §§ 120, 121 and 365(c)

☒ Amend the Specification by inserting before the first line the sentence: "This is a Continuation-In-Part of copending application(s) **08/956,518** filed on **10/23/97**."

16. Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed

a. This application discloses and claims additional disclosure and a new declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are

☒ the same.

17. Notification In Parent Application Of This Filing

☒ A notification of the filing of this

☒ continuation-in-part

is being filed in the parent application from which this application claims priority under 35 U.S.C. § 120.